

UTILITY
PATENT APPLICATION
TRANSMITTALOnly for new nonprovisional applications under
37 C.F.R. 1.53(b)

Attorney Docket No.

1280.2003-000

First Named Inventor or
Application Identifier

Steven I. Ross

Express Mail Label No.

EL 930598065 US

Title of
InventionSYSTEM AND METHOD FOR RELATING SYNTAX AND SEMANTICS FOR A
CONVERSATIONAL SPEECH APPLICATION

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages [28]]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to microfiche Appendix
 - Background of the Invention
 - Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets [5]]
[] Formal [X] Informal
[X] Fig. of the Drawings for Publication [1]
4. ☒ Oath or Declaration/POA [Total Pages [6]]
- a. ☒ Newly executed (original or copy)
 - b. [] Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 18 completed)
[NOTE Box 5 below]
 - i. [] DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior
application, see 37 C.F.R. 1.63(d)(2)
and 1.33(b).
5. [] Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b, is
considered as being part of the disclosure of the accompanying
application and is hereby incorporated by reference therein.
6. [] Microfiche Computer Program (Appendix)
7. [] Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. [] Computer Readable Copy
 - b. [] Paper Copy (identical to computer copy)
[] Pages
 - c. [] Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & documents)
☒ Assignee - International Business Machines Corporation
Armonk, New York
9. [] 37 C.F.R. 3.73(b) Statement [] Power of Attorney
(when there is an assignee)
10. [] English Translation Document (if applicable)
11. [] Information Disclosure [] Copies of IDS
Statement (IDS)/PTO-1449 Citations
12. [] Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. [] Small Entity [] Statement filed in prior application,
Statement(s) status still proper and desired
15. [] Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. [] Nonpublication Request (check parent application)
17. ☒ Other: Transmittal of Declaration/Power of Attorney and
Notice of Change of Correspondence Address

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

[] Continuation [] Divisional [] Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner:

Group Art Unit:

19. CORRESPONDENCE ADDRESS

NAME	Mary Lou Wakimura				
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CITY	Concord	STATE	MA	ZIP CODE	01742-9133
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Signature	J Scott Southworth	Date	October 25, 2001
Submitted by Typed or Printed Name	J. Scott Southworth	Reg. Number	39,382

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

FEE TRANSMITTAL FOR PATENT APPLICATIONS

Attorney Docket Number	1280.2003-000
Application Number	
First Named Inventor	Steven I. Ross

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (j))	23	- 20* =	3	x \$ 18 =	\$ 54
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	5	- 3** =	2	x \$ 84 =	\$ 168
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ 280 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 740
				Total of above Calculations =	\$ 962
Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =					\$
TOTAL =					\$ 962
Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =					\$
Petition for Extension of Time Fee (37 C.F.R. 1.17) =					\$
Assignment Recordation Fee = (only when filed with application)					\$ 40
* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent				TOTAL =	\$ 1002

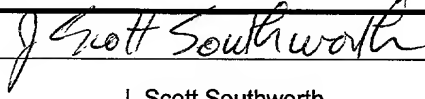
1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$1002. ☐ Please charge \$[] to Deposit Account No. 08-0380.

4. ☐ Other: _____

Signature		Date	October 25, 2001
Submitted by Typed or Printed Name	J. Scott Southworth	Reg. Number	39,382

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Steven I. Ross, Robert C. Armes, Julie F. Alweis, Elizabeth A. Brownholtz
and Jeffrey G. MacAllister

Application No.: Group:

Filed: Examiner:

For: SYSTEM AND METHOD FOR RELATING SYNTAX AND SEMANTICS
FOR A CONVERSATIONAL SPEECH APPLICATION

Date: 10/25/01

EXPRESS MAIL LABEL NO. EL 930598065 US

TRANSMITTAL OF DECLARATION/POWER OF ATTORNEY AND
NOTICE OF CHANGE OF CORRESPONDENCE ADDRESS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

An executed Declaration/Power of Attorney document(s), is being filed concurrently in the above-referenced patent application. At page 2, the Declaration/Power of Attorney sets forth the former address of the undersigned in the appointment of agent and as correspondence address. Please send all correspondence to: Customer No. 021005, Hamilton, Brook, Smith & Reynolds, P.C., 530 Virginia Road, P.O. Box 9133, Concord, Massachusetts 01742-9133. In addition, please direct all telephone calls to Mary Lou Wakimura at (978) 341-0036, and all facsimile communications to (978) 341-0136.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By

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Date: October 25, 2001

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